

File 9:Business & Industry(R) Jul/1994-2000/Oct 09  
(c) 2000 Resp. DB Svcs.  
File 20:World Reporter 1997-2000/Oct 10  
(c) 2000 The Dialog Corporation plc  
File 810:Business Wire 1986-1999/Feb 28  
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File 813:PR Newswire 1987-1999/Apr 30  
(c) 1999 PR Newswire Association Inc  
File 636:Gale Group Newsletter DB(TM) 1987-2000/Oct 10  
(c) 2000 The Gale Group  
File 623:Business Week 1985-2000/Oct W1  
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File 621:Gale Group New Prod. Annou.(R) 1985-2000/Oct 10  
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File 47:Gale Group Magazine DB(TM) 1959-2000/Oct 10  
(c) 2000 The Gale group  
File 570:Gale Group MARS(R) 1984-2000/Oct 10  
(c) 2000 The Gale Group  
File 146:Washington Post Online 1983-2000/Oct 08  
(c) 2000 Washington Post  
File 387:The Denver Post 1994-2000/Oct 09  
(c) 2000 Denver Post  
File 471:New York Times Fulltext-90 Day 2000/Oct 10  
(c) 2000 The New York Times  
File 492:Arizona Repub/Phoenix Gaz 19862000/Sep 24  
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File 494:St LouisPost-Dispatch 1988-2000/Oct 09  
(c) 2000 St Louis Post-Dispatch  
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(c) 2000 Detroit Free Press Inc.  
File 630:Los Angeles Times 1993-2000/Oct 10  
(c) 2000 Los Angeles Times  
File 631:Boston Globe 1980-2000/Oct 07  
(c) 2000 Boston Globe  
File 632:Chicago Tribune 1985-2000/Oct 10  
(c) 2000 Chicago Tribune  
File 633:Phil.Inquirer 1983-2000/Oct 08  
(c) 2000 Philadelphia Newspapers Inc  
File 638:Newsday/New York Newsday 1987-2000/Oct 09  
(c) 2000 Newsday Inc.  
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(c) 2000 Chronicle Publ. Co.  
File 641:Denver Rky Mtn News Jun 1989-2000/Oct 01  
(c) 2000 Scripps Howard News  
File 702:Miami Herald 1983-2000/Oct 08  
(c) 2000 The Miami Herald Publishing Co.  
File 703:USA Today 1989-2000/Oct 09  
(c) 2000 USA Today  
File 704:(Portland)The Oregonian 1989-2000/Oct 08  
(c) 2000 The Oregonian  
File 713:Atlanta J/Const. 1989-2000/Oct 08  
(c) 2000 Atlanta Newspapers  
File 714:(Baltimore) The Sun 1990-2000/Oct 06

(c) 2000 Baltimore Sun  
 File 715:Christian Sci.Mon. 1989-2000/Oct 10  
 (c) 2000 Christian Science Monitor  
 File 725:(Cleveland)Plain Dealer Aug 1991-2000/Oct 08  
 (c) 2000 The Plain Dealer  
 File 735:St. Petersburg Times 1989- 2000/Oct 08  
 (c) 2000 St. Petersburg Times  
 File 477:Irish Times 1999-2000/Oct 10  
 (c) 2000 Irish Times  
 File 710:Times/Sun.Times(London) Jun 1988-2000/Oct 10  
 (c) 2000 Times Newspapers  
 File 711:Independent(London) Sep 1988-2000/Oct 10  
 (c) 2000 Newspaper Publ. PLC

Set	Items	Description
S1	85953	(OPENING OR REOPENING OR INITIAL)(10N) (PRICE? OR QUOT?)
S2	949	(PRE()MARKET? OR PREMARKET? OR PREOPEN? OR (PRE OR VERY OR INITIAL)(2N)OPENING OR LOCK()IN)
S3	31	(PRETRADING OR PRE()TRADING)
S4	84317	(ORDER? OR DEMAND? OR PRICE? OR BID OR BIDS OR BIDDING OR - OFFER OR OFFERS OR SALE? OR SELL? OR TRADE? OR TRANSACTION? OR BUY? OR PURCHAS?)
S5	23134	TRADING
S6	45287	(OPENING? OR INITIAL? OR INTRODUCOR?)(2N)(S4 OR S5) OR OPENING(2N) DELAY?
S7	45481	S2(10N)(S4 OR S5) OR S3(10N) S4 OR S6
S8	608	(IMBALANC? OR DISPROPORT? OR ASSYMETR? OR UNBALANC? OR OVERBALANC? OR DISEQUILIBR? OR DIS()(EQUILIBR? OR DISPROPORT?))
S9	1420	(BUY (3N)SELL) OR OFFER? (3N)DEMAND?
S10	77	S8(10N) S9 OR ORDER?(2N)S8
S11	29	S7(S)S10
S12	4288	(MARKET OR STOCK?) (2N)(MAKER? OR PARTICIPANT?) OR SPECIALIST?
S13	5	S11(S)S12
S14	5	S13 AND S1
S15	15041	(WEB OR NETWORK? OR NET()WORK? OR W3 OR INTERNET OR INTRANET OR SERVER? OR WWW OR EXTRANET OR ONLINE OR ON()LINE OR INTERACTIV?)
S16	3209	S7(S)S15
S17	2	S16(S)S8
S18	1	S11(S)S16
S19	59	S7(S)S8
S20	1	S19(S)S16 NOT S18
S21	55	S16(S)S12
S22	5	S21 (S) (OPENING()PRICE?)

*Handwritten note: LIMIT ALL / S7*

14/3,K/1 (Item 1 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2000 Resp. DB Svcs. All rts. reserv.

02453346 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Emerging equities**

(Over the past five years, Poland has been the fastest growing economy in Europe; derivatives market, OTC market grow in popularity)

Euromoney Guide to Poland Supplement, p 4+

April 1999

DOCUMENT TYPE: Journal ISSN: 0014-2433 (United Kingdom)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1610

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**TEXT:**

...of imbalance between supply and demand. Only if the ratio does not exceed five to one, the orders are scaled down and executed.

After the **price** and **opening** imbalance are determined, the **specialist** broker may announce an offer. During this balancing phase, only counterbalancing orders (orders from the lighter side of market) are accepted. Depending on the situation...  
...15pm at the latest. If the market is balanced before 12.15 then the crossing phase is activated. During that phase brokers may send both **buy** and **sell** orders regardless of the **imbalance** side. As a result, the excess side may change.

The trading session is from 1pm to 4pm. There are about 70 stocks traded in this...

14/3,K/2 (Item 1 from file: 623)  
DIALOG(R)File 623:Business Week  
(c) 2000 The McGraw-Hill Companies Inc. All rts. reserv.

0015730 (USE FORMAT 7 FOR FULLTEXT)

**THE NEXT 'TRIPLE WITCHING HOUR' COULD BE THE LAST: WITH THE MARKET MORE VOLATILE THAN EVER, THE SEC IS CALLING FOR NEW RESTRAINTS**

John N. Frank in Chicago, with Vicky Cahan in Washington

Business Week, June 23, 1986, Number 2952, Pg 116

JOURNAL CODE: BW

SECTION HEADING: Finance

WORD COUNT: 830

...TEXT: We could use a more efficient process to alleviate and ameliorate it."

The SEC is considering three proposals. One would tie expiring futures and options **prices** to **opening** --rather than closing--stock **prices** on the expiration day. The other two, in different ways, would require New York Stock Exchange **specialists** to disclose major stock **order imbalances** before the close of trading so that investors have warning of massive buy or sell programs.

But most market observers doubt that such rules could...

14/3,K/3 (Item 1 from file: 624)  
DIALOG(R)File 624:McGraw-Hill Publications

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0015730

**THE NEXT 'TRIPLE WITCHING HOUR' COULD BE THE LAST: WITH THE MARKET MORE VOLATILE THAN EVER, THE SEC IS CALLING FOR NEW RESTRAINTS**

Business Week June 23, 1986; Pg 116; Number 2952

Journal Code: BW ISSN: 0007-7135

Section Heading: Finance

Word Count: 830 \*Full text available in Formats 5, 7 and 9\*

BYLINE:

John N. Frank in Chicago, with Vicky Cahan in Washington

TEXT:

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But most market observers doubt that such rules could...

14/3,K/4 (Item 1 from file: 47)

DIALOG(R)File 47:Gale Group Magazine DB(TM)

(c) 2000 The Gale group. All rts. reserv.

03321643 SUPPLIER NUMBER: 08792175 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Technology and performance: the evolution of market mechanisms.**

Wright, David J.

Business Horizons, v32, n6, p65(5)

Nov-Dec, 1989

CODEN: BHORA ISSN: 0007-6813 LANGUAGE: ENGLISH RECORD TYPE:

FULLTEXT

WORD COUNT: 4219 LINE COUNT: 00339

... through this system.

SuperDot is actually a combination of several systems. Before the NYSE opens, SuperDot's Opening Automated Report System (OARS) will accept market **orders** up to 20,099 shares. OARS stores the thousands of **pre - opening** market **orders** and continuously pairs **buy** and **sell orders**, thereby presenting the **imbalance** to the **specialist**. This enables the **specialist** to determine the **opening price**. Typically, 15 to 20 percent of orders are processed at the opening.

After the market opens, SuperDot can be used to route market orders up...capitalized or willing to spend enough of their money to keep trading smooth. Further, the Commission found that some specialists fueled the crash by mispricing **opening prices** and selling more stock than they bought. In response, rules have been changed to help specialists acquire more capital and to allow a specialist unit...

14/3,K/5 (Item 1 from file: 632)

DIALOG(R)File 632:Chicago Tribune

(c) 2000 Chicago Tribune. All rts. reserv.

01157152

**OPTION PRICE CHANGE WEIGHED**

Chicago Tribune (CT) - FRIDAY May 9, 1986

By: Laurie Cohen

Edition: SPORTS FINAL Section: BUSINESS Page: 2

Word Count: 398

...this week with officials of the New York and American Stock Exchanges and the Chicago Board Options Exchange, SEC staff members suggested that the settlement **price** of stock index options be based on the **opening price** Friday morning, rather than the closing **price** Friday afternoon. Stock index options expire each month.

The idea, according to exchange sources, is to take advantage of existing NYSE procedures to handle **imbalances** of **orders** to **buy** and **sell** at the **opening**. When an exchange **specialist** receives a flood of sell **orders** at the **opening**, for example, he may delay the start of trading while sending out notification to brokerage firms that the price may open substantially lower.

Such indications...

?

**22/3,K/1 (Item 1 from file: 20)**

DIALOG(R)File 20:World Reporter

(c) 2000 The Dialog Corporation plc. All rts. reserv.

06554789 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Business Day: Shares tumble may signal end for bulls**

EVENING STANDARD, p38

August 05, 1999

JOURNAL CODE: FES LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 572

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... 6%. and offer good value for money.

A further sell-off of the hi-tech stocks on Nasdaq could spell disaster for The eXchange, the **online mortgage specialist**, which starts trading on a 'when issued' basis tomorrow. On the grey market City Index was quoting a spread on the **opening price** of 210p to 217p. That is down from yesterday's quote of 220p to 228p. The shares are expected to be offered at around the 207p level. David Buik at City Index raised the possibility of investors taking fright and the shares opening at a discount. Newcomer Freeserve, the free **Internet** service provider floated off from Dixons last month, lost some of its shine, falling 111/2p to 1991/2p.

There seemed to be no stopping...

**22/3,K/2 (Item 1 from file: 636)**

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

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04440440 Supplier Number: 55888367 (USE FORMAT 7 FOR FULLTEXT)

**Net IPO Rollercoaster Rolls On.**

Computergram International, n3755, pNA

Sept 27, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 199

... dearth of such IPOs and low price terms set with the market in the doldrums over the summer. NetZero, a Westlake Village, California-based free **internet** service provider, almost doubled its share price on its first day of trading putting on \$13.3 from its **opening price** of \$16 a share to close at \$29.30. Another Friday IPO, Interspeed, a **specialist** DSL high speed **internet** service, which uses traditional phone lines, closed well above its \$12 debut price at \$

**22/3,K/3 (Item 2 from file: 636)**

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

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04440361 Supplier Number: 55888282 (USE FORMAT 7 FOR FULLTEXT)

**Net IPO Rollercoaster Rolls On. (Statistical Data Included)**

Network Briefing, pNA

Sept 27, 1999

Language: English Record Type: Fulltext

Article Type: Statistical Data Included

Document Type: Magazine/Journal; Trade

Word Count: 199

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22/3,K/4 (Item 1 from file: 623)  
DIALOG(R) File 623:Business Week  
(c) 2000 The McGraw-Hill Companies Inc. All rts. reserv.

00736480 (USE FORMAT 7 FOR FULLTEXT)  
**A DESERT TRADING POST: Out in Arizona, a computer may make stock pricing fairer**  
By Kathleen Morris in Los Angeles, with Jessica McCann in Phoenix and Suzanne Woolley in New York  
Business Week, March 3, 1997, Number 3516, Pg 81  
JOURNAL CODE: BW  
SECTION HEADING: Finance: EXCHANGES  
WORD COUNT: 1,142

...TEXT: place any orders.'

Wunsch's morning auction would get rid of that excess volatility at the start of the day by computer selection of the **opening price** that matches supply and demand. With that benchmark set, traders could then begin the day with less fear of being hurt by swings in stock prices. "We think Wunsch's single-**price** auction could be a dramatic tool to make a **very** clean **opening** for our market," says NASDAQ chief economist Dean Furbush. AZX's software is being integrated into the **network** of workstations that make up the NASDAQ National Market, which would give all NASDAQ investors access to the system. That could cut into some profitable trades for NASDAQ **market makers**. But the more stable **opening prices** and lower trading costs should bring increased volume. Wunsch's hope is to eventually have three daily call auctions.

To beef up volume, Wunsch plans...

22/3,K/5 (Item 1 from file: 47)  
DIALOG(R) File 47:Gale Group Magazine DB(TM)  
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05310200 SUPPLIER NUMBER: 53748244 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Independence day. (high-end auction houses to put up Web sites) (Brief Article)**  
Goff, Robert  
Forbes, 166(1)  
Feb 22, 1999  
DOCUMENT TYPE: Brief Article ISSN: 0015-6914 LANGUAGE: English  
RECORD TYPE: Fulltext  
WORD COUNT: 969 LINE COUNT: 00078

... eBay and Yahoo Auctions had been posting items with bigger price tags and fancier descriptions, like an Empire sofa with scroll-shaped arms and an **opening price** of \$2,500. **On - line** buyers, in turn, have been demanding more accountability and guarantees of authenticity--precisely the strengths of traditional auction houses like Sotheby's and Christie's, which pride themselves on centuries-old reputations and legions of **specialists** to weed out fakes.

"I don't think this is a choice," concedes Dede Brooks, Sotheby's

chief executive, of her decision to sink \$25...

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17/3,K/1 (Item 1 from file: 636)

DIALOG(R) File 636:Gale Group Newsletter DB(TM)

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04031215 Supplier Number: 53350986 (USE FORMAT 7 FOR FULLTEXT)

**Heat, flow order kick up Western demand.**

Gas Daily, pNA

July 28, 1998

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 764

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...Gas. El Paso issued an unauthorized overpull penalty after shippers pulled large volumes of gas from El Paso's Washington Ranch storage facility, causing an **imbalance**. Sources said the overpull occurred due to utilities in Phoenix and Las Vegas withdrawing heavily from the storage facility to meet their electricity generation demand...

...of buyers looking for same day gas;' a San Juan trader said. Meanwhile, prices softened at Waha after two coal-fired generating plants came back **on line** and scattered showers gave the market regions for Waha gas some relief from the heat. The NYMEX August Henry Hub contract was up briefly to ...

...mid-afternoon still down about 6.6[cent], where it settled. In the Gulf, prices slid about a nickel after trading close to NYMEX's **opening prices** early in a "sluggish" day with "low-key" activity. "A few marketers and end-users were out, but it was pretty boring," a Gulf trader...

17/3,K/2 (Item 2 from file: 636)

DIALOG(R) File 636:Gale Group Newsletter DB(TM)

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02821794 Supplier Number: 45722667 (USE FORMAT 7 FOR FULLTEXT)

**TELEPHONY**

Communications Daily, v15, n154, pN/A

August 10, 1995

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 1702

... heavy Nasdaq action, closing at \$58.25 after gaining \$30 on 13.8 million shares on first of trading for maker of popular World Wide **Web** browser software. Market delayed trading for 90 min. and order **imbalances** prompted 90-min. delay in trade. When market opened, price shot to \$75 from initial \$28 before falling below \$60 by midafternoon. Underwriters raised size of **initial offer** to 5 million shares from 3.5 million.

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As expected, MCI and Delphi Internet, owned by Rupert Murdoch's News Corp., said Wed. they will...

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18/3,K/1 (Item 1 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
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02821794 Supplier Number: 45722667 (USE FORMAT 7 FOR FULLTEXT)

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Word Count: 1702

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20/3,K/1 (Item 1 from file: 636)

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04031215 Supplier Number: 53350986 (USE FORMAT 7 FOR FULLTEXT)

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